

# FAA Regulatory Update

**Presented to: NATA**

**By: John M. Allen, Director**

**FAA Flight Standards Service**

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**Federal Aviation  
Administration**



# Today's Aviation Regulatory Environment: “ABC” Challenges

- Accountability is increasing
  - Congress, other government agencies
  - Media and the public
- Budgets are shrinking
  - Focus on areas of real risk
  - Work smarter – make appropriate use of resources
- Change is accelerating
  - Recognize shifts in airman experience, aircraft, avionics, airspace
  - Hire ASIs with the right skills, experience; train in accordance with evolving regulatory posture



# Traditional Role of the Aviation Regulator



- To “inspect safety into the system” by:
  - Inspecting airmen, aircraft, operators, etc.
  - Enforcing the regulations
  - Pursuing enforcement action for any transgression



# Government's Evolving Role: Safety Management Systems

- More efficient
  - Requires more transparency of data and information to denote risk and hazards by all stakeholders
- More effective
  - Refocused enforcement program can enhance SMS effectiveness
- More capable
  - SMS plus greater use of designees is a force multiplier



# Government's Evolving Role: Use of Designees

- Direct inspection vs. designees
  - Cannot “inspect safety into the system”
- Shift to training, managing, overseeing those who act for FAA
- Effective processes and tools for designees – but also for FAA ASIs.
- Still ensure that ASIs “touch metal”



# Government's Evolving Role: Enforcement Posture



- Now refocusing ASI (and manager) approach to enforcement
- There is an important distinction between an *unintentional infraction* and a *non-compliant attitude*.
- The key question is what best serves and achieves our safety assurance mission.



# Government's Evolving Role: Consistency & Standardization

- Lack of standardization is one of industry's most persistent complaints against the FAA.
- Section 313 of the FAA Reauthorization bill required the Administrator to establish an advisory panel to address this subject.
- A key potential action - review FAA guidance to verify that it is consistent with the regulation it is intended to support.



# Key Provisions of P.L. 111-216

## Regulatory Update

- Safety Management Systems (SMS)
  - Comprehensive, process-oriented approach to managing safety throughout an organization.
- Pilot Certification & Qualification
  - Requires the FAA to complete rulemaking to require all part 121 pilots to hold an ATP. (216)
  - Requires the FAA to revise requirements for an Airline Transport Pilot (ATP) certificate. (217)





# Key Provisions of P.L. 111-216

## Regulatory Update

- Pilot Training
  - Scheduled publication date for final rule - October 2013.
  - Requires the FAA issue the crewmember and aircraft dispatcher training and qualification rule. (N&O) (209)
  - Requires rulemaking to ensure part 121 air carriers provide stall, upset recovery and recovery training; and remedial training. (208)
- Pilot Fatigue (Flight Duty & Rest)
  - Published Jan 4, 2012 - implementation Jan 2014.
  - Two rulemakings in progress concerning tail end ferry for 121 and 135 carriers. NPRMs Dec 2013/Aug 2014.



# Questions

John Allen - [John.Allen@FAA.gov](mailto:John.Allen@FAA.gov)



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